



# PLATFORM OVERVIEW

Social Justice Investing

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## The Company

### ROBASCIOTTI & PHILIPSON

Robasciotti & Philipson is an investment management firm that opened for business in 2004 and currently manages over \$140 Million in assets of publicly traded securities. The firm is majority owned and operated by women, people of color, and members of the LGBTQ+ community. We build bridges that bring the investment industry into deep alignment with social justice movements. Skilled at translating active listening into financial strategies, we structure money to generate individual and collective good.

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## The Strategy

### RISE (RETURN ON INVESTMENT & SOCIAL EQUITY)

RISE uses the industry's first community-developed investing criteria and seeks to balance financial and social returns. The RISE Community centers the voices of those most impacted by systems of oppression when defining impact and is comprised of social justice organizations and allies, investors, and investment managers. Together, we build coalitions to influence the behavior of publicly traded companies and leverage the power of investing to make transformative change. Through our investment screens and cross-sector campaigns, we aim to align the behavior of corporate actors with social justice movements and values. In doing so, we address issues impacting both people and the planet, including corporate citizenship, gender equity, racial justice, LGBTQ+ equality, fair labor, user safety, animal welfare, clean air and water, and environmental sustainability.

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## Our Process

### PORTFOLIO CONSTRUCTION & SCREENING METHODOLOGY

All RISE portfolios are constructed by applying the community-developed RISE Social Screen to an underlying index of publicly traded equities. To assist in building portfolios, we selected Ethic Investments as our research and trading partner. Together, we created a robust engine that layers multivariate pools of sustainability data. Underlying data sources for screening include both commercially available ESG data and data provided by reputable social justice NGOs (i.e., independent, non-profit organizations that address specific social and environmental issues). To a specified index or other investment universe, we deploy cutting-edge data science and proprietary algorithms to analyze millions of data points from multiple sources across the spectrum of key sustainability issues. The final step in the portfolio construction process is the application of a rigorous, 16-point optimization process to minimize tracking error against the underlying benchmark.



# WHO WE ARE



**Rachel Robasciotti**  
*Founder & CEO*



**Maya Philipson**  
*Principal & COO*



**Rachel Freeman**  
*Director of  
RISE Investor Services*



**Phuong Luong**  
*RISE  
Investment Strategist*



**Ella Taylor**  
*Wealth Manager  
& RISE Advisor*



**Sandy Holmes**  
*Chief Compliance Officer*



# SOCIAL JUSTICE INVESTING CRITERIA

RISE takes bold action for social justice and leverages the power of investing to create well-being for people and the planet. Our community-based, bottom-up approach revolutionizes how social screens are created and work for the greater good.

SUPPORT	SEEK	PROMOTE	SCREEN	
People	Social Well-Being	Corporate Citizenship	<ul style="list-style-type: none"> <li>Corporate Governance</li> <li>Diversity &amp; Inclusion Policies</li> <li>Community Impact</li> <li>Accounting &amp; Management</li> <li>Religious Policies</li> <li>Social Conservatism   Political Support</li> </ul>	
		Human Rights	Gender Equity	<ul style="list-style-type: none"> <li>Systemic Sexism</li> <li>Reproductive Rights</li> <li>Equal Employment Opportunity</li> </ul>
			Racial Justice	<ul style="list-style-type: none"> <li>Systemic Racism</li> <li>Private Prisons</li> <li>Prison Labor</li> <li>Money Bail System</li> <li>Predatory Lending</li> <li>For-Profit Colleges</li> <li>Indigenous Peoples' Rights</li> <li>Equal Employment Opportunity</li> </ul>
			LGBTQ+ Equality	<ul style="list-style-type: none"> <li>Systemic Homophobia</li> <li>Anti-LGBTQ+ Activity</li> <li>Equal Employment Opportunity</li> </ul>
			Fair Labor	<ul style="list-style-type: none"> <li>Worker Protections &amp; Rights</li> <li>Working Conditions</li> <li>Human Slavery &amp; Child Labor</li> <li>Occupied Territories</li> </ul>
	Physical Well-Being	Human Safety	<ul style="list-style-type: none"> <li>Tobacco</li> <li>Weapons &amp; Firearms</li> <li>Fast Food &amp; Soft Drinks</li> <li>Customer Impact</li> <li>Data Privacy &amp; Security</li> </ul>	
Planet	Environmental Well-Being	Environmental Sustainability	<ul style="list-style-type: none"> <li>Fossil Fuels</li> <li>Raw Material Sourcing</li> <li>Product Waste</li> <li>Energy Usage</li> <li>Rainforests   Deforestation</li> <li>Sustainable Agriculture</li> <li>Pesticides</li> </ul>	
		Clean Air & Water	<ul style="list-style-type: none"> <li>Carbon Emissions</li> <li>Pollution</li> <li>Water Management</li> </ul>	
	Animal Well-Being	Animal Welfare	<ul style="list-style-type: none"> <li>Habitat Loss</li> <li>Fur &amp; Leather Products</li> <li>Non-Medical Testing</li> <li>Humane Animal Treatment</li> </ul>	



# SAMPLE HOLDINGS

## PUBLIC EQUITIES

### US LARGE CAP

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Xylem  
water technology

ResMed  
medical - sleep apnea products

Charles Schwab  
financial services

Square  
mobile payments

Intuit  
business and financial software

Adobe  
creative software

Stanley Black & Decker  
tools and household hardware

Nielsen Holdings  
television ratings

Automatic Data Processing  
human resources management

VF Corp  
apparel - timberland, northface

Texas Instruments  
semiconductors, software, and calculators

Hanesbrands Inc.  
apparel

### US SMALL CAP

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New York Times  
media publication

Central Garden & Pet  
pet and garden supply

Movado Group  
watches

Netgear  
wireless networking devices

Ethan Allen Interiors  
home furnishings

American Railcar Industries  
freight cars

Pitney Bowes  
shipping and mailing systems

GameStop  
video game retailer

Helen of Troy  
health, beauty, and household

Lands' End  
lifestyle apparel

Scholastic  
educational materials

Portland General Electric Co  
leader in renewable energy

### INTERNATIONAL

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Royal Mail  
parcel delivery (UK)

Danone  
dairy and plant-based products

FUJIFILM  
cameras and technology

Commonwealth Bank of Australia  
2nd oldest and largest bank

Vestas Wind Systems  
wind turbines

Siemens Gamesa Renewable Energy  
leader in renewable energy (Spain)

Hitachi  
consumer and businesses technology

HSBC  
global banking

Heineken  
alcoholic beverages

Fisher & Paykel Healthcare  
medical - respiratory products

Wacoal  
women's apparel

Merlin Entertainments  
theme parks - LEGOLAND

